

Protected Emerging Europe Plan

Please complete in BLOCK LETTERS and in black ink, and return to your Financial Adviser or to Keydata Investment Services limited, Floor 8, Fountain House, 2 Queens Walk, Reading RG1 7QF. Telephone: 0844 391 3429 Fax: 0844 391 3422.

1. Personal Details

Title (Mr/Mrs/Ms/Other)

Surname

First name(s) in full

Date of birth

Permanent residential address

Postcode

Telephone

E-mail address

Please tick the appropriate box if you hold or have held one or more of the following prominent public offices or one of the other items applies to you:

- Head of state or government, senior politician, high administrative, judicial or military office, or official of a political party, senior position within a state-owned enterprise (member of a senior executive body).
- None of the above applies to me/us
- I/We am/are an immediate family member of one of the above, namely:

2. Joint Holders – Direct Investments only

Title (Mr/Mrs/Ms/Other)

Surname

First name(s) in full

Date of birth

Permanent residential address

Postcode

Telephone

E-mail address

3. On Behalf of a Child – Direct Investments only

Child's Name

Date of birth

4. National Insurance Number

If you have a National Insurance (NI) Number you must enter it here:

Tick here if you do not have a NI Number

Joint Holder (Direct Investment only):

Tick here if you do not have a NI Number

(You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the DSS, or pension order book)

5. Bank Details

All payments will only be made by direct credit by the Bankers Automated Credit System (BACS) to an account with a bank or building society within the UK clearing system. This section MUST be completed.

Bank/Building Society

Address

Postcode

Name of Account

Bank Sort Code

Full Account Number

Building Society Reference or Roll Number (if applicable)

6. New Subscriptions

Please note option (ii) is only available if you have not already subscribed to a Stocks and Shares ISA in the tax year 2008/2009.

	Amount	✓ Tick if relevant
(i) Direct Investment:	£ <input type="text"/>	<input type="checkbox"/>
I apply to subscribe the following amount to the Protected Emerging Europe Plan (minimum £5,000, no maximum).		
(ii) Stocks and Shares ISA 2008/2009:	£ <input type="text"/>	<input type="checkbox"/>
I apply to subscribe the following amount to a Stocks and Shares ISA for the tax year ending 5/4/2009 only (minimum £5,000, maximum £7,200).		

Total Subscription: £

Please make your cheque payable to 'Keydata - Client A/C', or for building society cheques 'Keydata - Client A/C reference [your name]'

Please continue to the next section overleaf ▶

7. Appropriateness

Have you received a personal recommendation from your adviser to invest in this product?

Yes No

If 'Yes', please proceed to Section 8, Declaration & Authority. If 'No' please answer the questions below before proceeding to Section 8, Declaration & Authority. If you do not answer these additional questions, we will not be able to determine whether this investment is appropriate for you.

Appropriateness Questions

The following questions only need to be answered if you have not received investment advice.

Question 1

Have you, in the last 5 years, held any of the following investments?

- Shares Stock and Shares ISAs/PEPs
 Unit Trusts/ OEICS A product similar to the one you are applying for [known as a Structured Product] i.e. a fixed term investment, where you may get back less than you invested.
 Tracker Funds

Question 2

Do you understand that stock market movements will influence the return you receive and may put your capital at risk, meaning you could get back less than you invested? Yes No

Question 3

Do you understand that the investment has been designed to be held for the full term? Yes No

Question 4

Do you understand that surrender or transfer to another Plan Manager before maturity is only available on a twice-monthly basis and that by doing so you may get back less than you invested due to the underlying assets, surrender charges and/or any other charges built into the product? Yes No

Question 5

Do you fully understand the Investment Risks outlined in the Brochure? Yes No

Full details on these are contained within the Brochure, which you should have read and understood.

8. Declaration & Authority

Applicable to all ISA Applicants

- I wish to subscribe for a Stocks and Shares ISA the amount detailed in Section 6;
- All subscriptions made belong to me;
- I have not subscribed, and will not subscribe, more than £7,200 in total to a cash ISA and a Stocks and Shares ISA in the same tax year;
- I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA, and;
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Keydata Investment Services limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

For all Applicants - I declare that:

- I/we am/are 18 years of age or over;
- I/we am/are not, and am/are not acting on behalf of, a resident of the United States; and that I/we will not assist any person who is so resident to acquire investments in the Protected Emerging Europe Plan. Further, I/we agree to inform you immediately should I/we become a resident of the United States.

I/we authorise Keydata Investment Services Limited:

- To hold my/our cash subscription, Direct Investments, ISA investments, interest (as applicable), dividends and other rights or proceeds in respect of those investments and any cash or other proceeds;
- To make on my/our behalf any claims to relief from tax in respect of ISA investments; and
- To supply an 6 monthly statement.

Early encashment may also lead to some loss of capital. Investors should be aware that the value of units and shares can fall as well as rise and that past performance IS NOT an indication of future performance.

The Plan Manager may, at their discretion, extend the closing date beyond that advertised as pertaining to this offer, but such an extension will not in any event extend beyond the Start value Date. In the event of this occurring, revised dates will be advertised on our website or alternatively you may call 0844 391 3429 for information.

I have read and understood the Protected Emerging Europe Plan Brochure/Key Features/Terms & Conditions and accept the terms under which my investments will be managed. I acknowledge that the Plan Manager will provide a 6 monthly statement on the value of my investment. I declare that this application form has been completed to the best of my knowledge and belief.

Signature

Date

Joint Holder if applicable (Direct Investment only):

Signature

Date

Keydata Investment Services limited will store information that we hold about you for administration and marketing. We may contact you about our services, and the services of companies we have a relationship with, in the future. If you would prefer not to receive such information please mark this box. You are entitled to access the information we hold about you upon payment of a fee.

For financial adviser completion

I certify that: (please tick as appropriate)

1. I have verified the identity of the Applicant in accordance with the Money laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant name and address as shown on the Application Form is correct. Details of the records of identity are as described (document name + detail + date, e.g. Driving licence SMITH625082JT4EG (expiry date), Gas bill 30.04.08 uc ref12345678) and copies are available for inspection if required:

Proof(s) of identity

Proof(s) of residency

Any additional check(s) or relevant customer information

OR

2. I have not verified the identity of the Applicant for the following reason:

Signature

Date

Financial Adviser Details

Full name*

FSA Number

*Required in order that you receive copies of pertinent information regarding your client's investment.

Company Name & Address

Have you provided the Customer with investment advice in relation to this product?

Yes No

If 'No', please specify how the investment has been taken out AND check if section 7 of this application form has been completed by the client.

Execution only Yes No

Direct offer Yes No

Other, please specify

Additional Instructions

(Please note that commission sacrifice is not allowable in respect of ISA investments)